

08/31/01

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FORM PTO-1082

08/29/01

81751.0017

Express Mail Label No. EL 894 944 618 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kazunobu KUWAZAWA

Serial No: Not assigned

Filed: August 29, 2001

For: SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE SAME

J1033 U.S. PTO  
09/943094  
08/29/01

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

CORRESPONDENCE ADDRESS:

☒ Customer Number

000026021



26021

PATENT TRADEMARK OFFICE

Transmitted herewith for filing is the patent application identified above.

- ☒ 10 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.
- ☒ 27 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- ☐ An assignment of the invention to SEIKO EPSON CORPORATION ☐ is enclosed ☒ will follow.
- ☐ An associate power of attorney ☐ is enclosed ☐ will follow.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Declaration and Power of Attorney ☐ is enclosed ☒ will follow.
- ☒ A certified copy of Japanese Patent Application No. 2000-265384 filed September 1, 2000 from which priority is claimed under 35 U.S.C. § 119 is enclosed.
- ☒ IDS enclosed (☒ with 1 reference(s)).
- ☐ Preliminary Amendment is enclosed.
- ☐ Application data sheet is enclosed. See 37 CFR 1.76.
- ☒ Return postcard is enclosed.

## CALCULATION OF FEES

CALCULATION OF FEES									
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE	
A	TOTAL CLAIMS FE E	31	-20	11	LG=\$18 SM=\$9	\$18	198		
B	INDEPENDENT CLAIMS FEE*	6	-3	3	LG=\$80 SM=\$40	\$80	240		
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							438	
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135		\$ 0	
E	BASIC FEE					LARGE ENTITY FEE = \$710 SMALL ENTITY FEE = \$355		\$ 710	
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 1148	
G	ASSIGNMENT RECORDING FEE							\$ 40	\$ 40
*1ST INDEPENDENT CLAIMS 1, 7, 14, 20, 25 and 28									

- ☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.
- ☐ A check in the amount of \$ 0 to cover the filing fee is enclosed.
- ☐ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 C.F.R. 1.16
- ☐ Any patent application processing fees under 37 C.F.R. 1.17

Respectfully submitted,  
HOGAN & HARTSON L.L.P.

By:

Michael Crapenhof  
Registration No. 37,115  
Attorney for Applicant(s)

Date: August 29, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Kazunobu KUWAZAWA

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MANUFACTURING THE SAME

Art Unit: Not assigned

Examiner: Not assigned

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Date of Deposit: August 29, 2001

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Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ patent application ( 27 page(s) of specification; 31 claim(s); 1 page(s) of abstract
- ☒ 10 sheet(s) of formal drawings
- ☒ certified copy of Japanese patent application No. 2000-265384 which was filed September 1, 2000 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119
- ☒ Information Disclosure Statement with 1 reference
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

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Commissioner for Patents  
Washington, D.C. 20231.

Date: August 29, 2001

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Emily Cruz  
Name of person mailing papers

Signature